



ACTIVITY CONFIRMATION

BUY

Joseph T Lowery  
Maria E Ocampo  
3 Martin Ln  
Flemington NJ 08822-7009

Account Number: **Redacted**  
Account Type: CASH  
Owner: Joseph T Lowery &  
Maria Elena Ocampo Jtwros

Financial Advisor: Robert Mongelli  
(973) 218-0710

We are pleased to confirm the following BUY transaction for your account:

You Bought	Cusip	Price	Trade Date	Settlement Date
25,000	74529JKJ3	103.9	12/01/10	12/06/10
Gross Transaction Amount		\$25,975.00	<b>Security Description</b> PUERTO RICO SALES TAX PING CORP SALES TAX REV FIRST SUB SER A B/E CPN 5.500% DUE 08/01/37 DTD 02/09/10 FC 08/01/10 CALL 02/01/20 @ 100.000	
Interest		\$477.43		
Handling Fee		\$6.00		
Net Transaction Amount		\$26,458.43		

Exchange: We are acting as principal for this trade. The price shown may include a profit or loss to Ameriprise Financial in addition to any disclosed markup/markdown. Ameriprise Financial's trading account may have a long or short position in this security.

**Special Remarks for this Transaction**

SOLICITED  
CALL FEATURES EXIST AND MAY AFFECT YIELD  
INFORMATION AVAILABLE UPON REQUEST  
BOOK ENTRY ONLY  
MANDATORY SINKING FUND \*08/01/2036

NEXT CALL: 02/01/2020 @ 100.000  
RATINGS: MOODY A1 AND S&P A+  
4.964% YIELD TO CALL ON 02/01/2020  
AT 100.00



**PLEASE SEE TERMS AND CONDITIONS FOR IMPORTANT INFORMATION**

Thank you for giving Ameriprise Financial the opportunity to service your investment needs. Payment for, or delivery of, securities must be made on, or prior to, the settlement date indicated above. If funds have not been sent, or are not available in your account, immediately contact your financial institution to have funds wired (see terms and conditions for instructions). If your securities are not held in your account and you have not delivered them, you must do so immediately.  
1-866-295-7912

000436





## Your Ameriprise statement

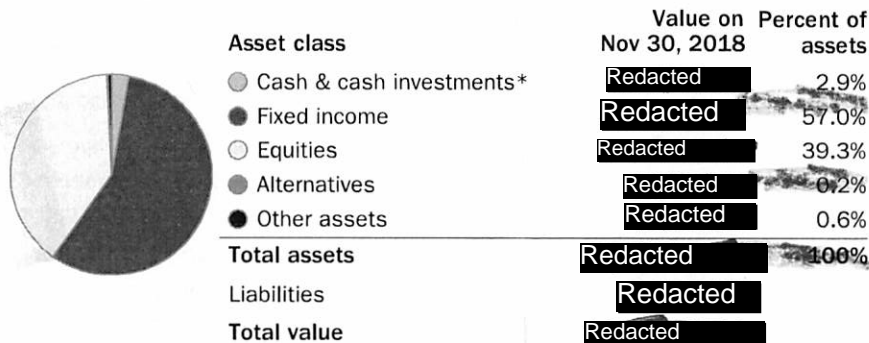
for November 1, 2018 to November 30, 2018

PREPARED FOR JOSEPH T LOWERY AND MARIA ELENA OCAMPO

### Value of your accounts

	This month	This year
Beginning value	Redacted	Redacted
Net deposits & withdrawals	Redacted	Redacted
Dividends, interest & income	Redacted	Redacted
Change in value	Redacted	Redacted
Ending value	Redacted	Redacted

### Your asset allocation

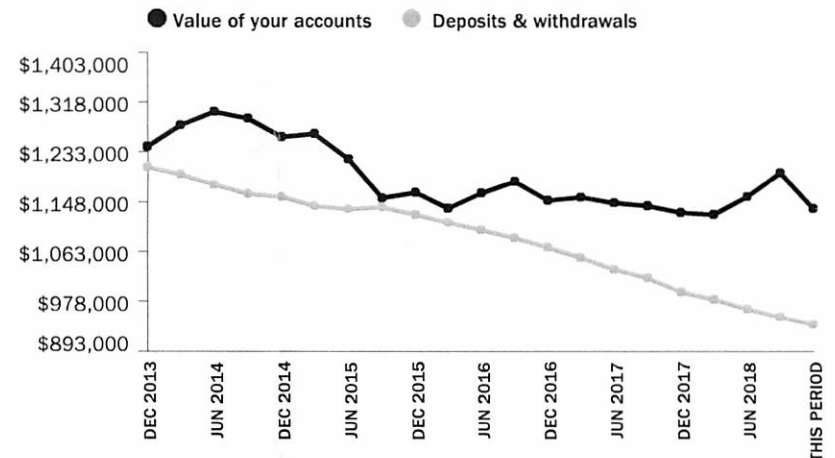


\*Cash investments includes cash held inside pooled investments (e.g. mutual funds), as part of a manager's investment strategy, and is not directly accessible unless you sell some of that investment. For details visit [ameriprise.com/allocation](http://ameriprise.com/allocation).

### Your personal advisor

Robert Mongelli  
200 Campus Dr  
Ste 150  
Florham Park, NJ 07932-1007  
973.549.6732  
[robert.mongelli@ampf.com](mailto:robert.mongelli@ampf.com)  
[ameripriseadvisors.com/robert.1.mongelli](http://ameripriseadvisors.com/robert.1.mongelli)

### Value of your accounts over time



This chart gives a five-year view of your account values, reflected by the black line. The gray line shows your net contributions (i.e. deposits less withdrawals) since January 1, 2013. For accounts opened prior to January 1, 2013, the starting point for the gray line is the market value of your account(s) on December 31, 2012 inclusive of deposits, withdrawals, market activity, dividends, interest and fees.

Get all the details online at [ameriprise.com](http://ameriprise.com). Click the Portfolio tab to find your latest account value, activity and asset allocation.



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## Summary of your accounts

Page		Beginning value	Deposits	Withdrawals	Dividends, Interest & income	Change in value	Ending value
	<b>Personal accounts</b>	Redacted					
	MARIA ELENA OCAMPO AND JOSEPH T LOWERY	Redacted					
4	AMERIPRISE BROKERAGE ACCOUNT	Redacted	\$0.00	-\$2,587.87	\$2,222.96	-\$7,701.57	\$784,862.10
	JOSEPH T LOWERY	Redacted					
12	AMERIPRISE BROKERAGE ACCOUNT	Redacted	\$0.00	\$0.00	\$0.00	\$242.55	\$2,923.24
	Available cash: \$206.19	Redacted					
	<b>Total personal accounts</b>	Redacted	\$0.00	-\$2,587.87	\$2,222.96	-\$7,459.02	\$787,785.34
	<b>Retirement accounts - tax qualified</b>						
	JOSEPH T LOWERY IRA						
14	AMERIPRISE BROKERAGE ACCOUNT	Redacted	\$0.00	-\$2,925.00	\$599.80	-\$1,095.60	\$288,006.04
18	RiverSource RAVA 5 Advantage Variable Annuity						
	Living benefit value: \$315,000.00						
	Annuity contract values included in brokerage account above	Redacted					
20	STRATEGIC PORTFOLIO SERVICE ADVANTAGE	Redacted	\$0.00	\$0.00	\$71.57	\$2,518.96	\$46,013.81
	JOSEPH T LOWERY ROTH IRA	Redacted					
24	AMERIPRISE BROKERAGE ACCOUNT	Redacted	\$0.00	\$0.00	\$0.02	\$174.32	\$14,946.87
	<b>Total retirement accounts - tax qualified</b>	Redacted	\$0.00	-\$2,925.00	\$671.39	\$1,597.68	\$348,966.72
	<b>Total of all accounts</b>	Redacted	\$0.00	-\$5,512.87	\$2,894.35	-\$5,861.34	\$1,136,752.06

The Ending Value does not reflect any pending transactions in your account(s). Should you choose to sell your entire portfolio or a holding in an account; the total amount received may not be the same as the ending value noted here due to sales or surrender charges, tax withholding, outstanding loans or other fees that may apply at the time of sale.



## Ameriprise Brokerage Account (continued)

Account #: Redacted

### Summary of your holdings - continued

Asset	Value of assets	Percent of account
Total assets	Redacted	
Margin loan	Redacted	
Ending value	Redacted	100.0%

### Value of your margin account

Beginning margin loan*	-\$8,755.23
Ending margin loan*	-\$8,784.67
Securities held on margin	\$340,021.37

\* Loan subject to interest charge.

### Your holdings

Description	Symbol/ CUSIP		Quantity	X	Market price	Ending value = this period <sup>7</sup>	Ending value last period	Net change this period	Estimated			
									Cost basis <sup>1</sup>	Unrealized gain/loss	Annual Income	Yield
Mutual funds												
COLUMBIA DIVIDEND INCOME CL A	LBSAX		1,032.631		\$22.66	\$23,399.41	\$22,697.22	\$702.19	\$25,005.99	-\$1,606.58	\$386.51	1.65%
COLUMBIA SELIGMAN COMMUNICATIONS & INFORMATION CL A	SLMCX	M	548.392		\$71.19	\$39,040.02	\$38,579.37	\$460.65	\$26,962.57	\$12,077.45	\$0.00	0.00%
FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL A	FASDX	M	1,291.212		\$15.18	\$19,600.59	\$19,200.32	\$400.27	\$16,723.31	\$2,877.28	\$522.81	2.67%
MAINSTAY INCOME BUILDER CL A	MTRAX		1,946.812		\$18.75	\$36,502.72	\$36,035.49	\$467.23	\$38,736.37	-\$2,233.65	\$1,031.81	2.83%
MAINSTAY CUSHING MLP PREMIER CL A	CSHAX	M	3,401.705		\$10.64	\$36,194.14	\$37,384.73	-\$1,190.59	\$48,519.62	-\$12,325.48	\$4,480.72	12.38%
NUVEEN LARGE CAP VALUE CL A	NNGAX		1,694.257		\$26.17	\$44,338.70	\$44,203.16	\$135.54	\$41,935.44	\$2,403.26	\$615.01	1.39%
NUVEEN HIGH YIELD MUN BOND CL A	NHMAX		1,404.494		\$16.80	\$23,595.49	\$53,408.62	-\$29,813.13	\$24,924.60	-\$1,329.11	\$1,244.80	5.28%
NUVEEN HIGH YIELD MUN BOND CL A	NHMAX	M	1,780.278		\$16.80	\$29,908.67	\$0.00	\$29,908.67	\$31,593.38	-\$1,684.72	\$1,577.86	5.28%
Total Mutual funds						\$252,579.74	\$251,508.91	\$1,070.83	\$254,401.28	-\$1,821.55	\$9,859.52	
Stocks and ADRs												
CVR REFINING LTD PARTNERSHIP COM UNIT REPSTG LTD PARTNER INT	CVRR	M	1,725.000		\$13.84	\$23,874.00	\$33,206.25	-\$9,332.25	\$32,432.41	-\$8,558.41	\$6,210.00	26.01%



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## Ameriprise Brokerage Account (continued)

Account #:

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## Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X	Market price =	Ending value this period <sup>7</sup>	Ending value last period	Net change this period	Estimated			Yield
								Cost basis <sup>1</sup>	Unrealized gain/loss	Annual income	
Bonds, CDs and structured products											
2-5 YEARS											
MIDDLESEX CNTY NJ IMPT AUTH UTIL SYS REV B AMBAC B/E CAB @28.432 CPN 0.000% DUE 09/01/23 DTD 01/28/9909/01/2023	596567AN7 M Moody's = A3	15,000.000		\$87.5250	\$13,128.75	\$12,984.15	\$144.60	\$11,794.64	\$1,334.11	\$0.00	0.00%
NEW JERSEY SPORTS & EXPOSITION ATH ST CONTRACT SER A REV B/E CPN 4.500% DUE 09/01/23 DTD 12/03/03 FC 03/01/04 PRE 12/20/18 @ 100.00009/01/2023	64603RKR7 M Moody's = BAA2 S&P = BBB+	5,000.000		\$100.1210	\$5,006.05/ \$56.25	\$5,008.15	-\$2.10	\$5,000.00	\$6.05	\$225.00	4.49%
5-10 YEARS											
PUERTO RICO PUB BLDGS AUTH REV GTD GOVT FACS SER G B/E CPN 5.000% DUE 07/01/26 DTD 10/24/02 FC 01/01/03 CALL 12/31/18 @ 100.00007/01/2026	745235SW2 M Moody's = CA S&P = D	15,000.000		\$60.6250	\$9,093.75	\$9,262.50	-\$168.75	\$15,000.00	-\$5,906.25	\$0.00	0.00%
10-15 YEARS											
PUERTO RICO PUB BLDG AUTH REV GTD SER D AMBAC B/E *TECH DEFAULT CPN 5.450% DUE 07/01/30 DTD 01/30/02 FC 01/01/13 CALL 12/31/18 @ 100.00007/01/2030	745235D32 M Moody's = CA S&P = D	5,000.000		\$100.1070	\$5,005.35/ \$113.54	\$5,005.75	-\$0.40	\$5,000.00	\$5.35	\$272.50	5.44%
PUERTO RICO COMWLTH PR COMWLTH PUB IMPT SER A B/E OID @ 99.313 5.17% CPN 5.125% DUE 07/01/31 DTD 10/25/01 FC 01/01/02 CALL 12/31/18 @ 100.00007/01/2031	74514LBM8 M Moody's = CA S&P = D	15,000.000		\$58.0000	\$8,700.00	\$9,000.00	-\$300.00	\$15,000.00	-\$6,300.00	\$0.00	0.00%
PUERTO RICO PUB BLDGS AUTH REV GTD GOVT FACS N B/E OID @98.883 5.08% CPN 5.000% DUE 07/01/32 DTD 12/20/07 FC 07/01/08 CALL 12/31/18 @ 100.00007/01/2032	745235B34 M Moody's = CA S&P = D	20,000.000		\$60.6250	\$12,125.00	\$12,350.00	-\$225.00	\$19,179.49	-\$7,054.49	\$0.00	0.00%
PUERTO RICO CMWLTH PUB IMPT SER A B/E OID @99.685 5.02% CPN 5.000% DUE 07/01/33 DTD 10/16/03 FC 01/01/04 CALL 12/31/18 @ 100.00007/01/2033	74514LPT8 M Moody's = CA S&P = D	Redacted		\$58.0000	\$2,900.00	\$3,000.00	-\$100.00	\$5,000.00	-\$2,100.00	\$0.00	0.00%

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JOSEPH T LOWERY CLIENT ID:  
GROUP ID: 1115 2680 2 001

6 001

MARIA ELENA OCAMPO CLIENT ID: Redacted 2 001  
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## Ameriprise Brokerage Account (continued)

Account #: Redacted 4 133

*7 Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.*

*9 To provide a view of your complete financial picture, information regarding non-RiverSource annuity and insurance contracts you own are displayed and included in the "Ending value" totals. Please note that while these values are displayed within this statement, these non-RiverSource annuity and insurance contracts are held directly with the issuing insurance company and are not held by Ameriprise or protected by SIPC.*

*Information provided by non-RiverSource insurance companies and displayed on the consolidated statement is on a "best efforts" basis as a courtesy. The timing, frequency and level of information provided varies by carrier and some insurance companies may not provide any contract information to Ameriprise. Accuracy of these values is not guaranteed, and Ameriprise is not responsible for any information provided by the issuing companies. Please verify contract and rider information with the issuing insurance company prior to taking action on your contract, as values may have changed and surrender charges may apply. If you have any questions regarding your annuity/insurance contract please contact your financial advisor. The annuitants and/or designated beneficiaries listed on this account statement may be different than those listed on the annuity or insurance contract. For detailed information about your RiverSource annuity and insurance contracts, refer to the RiverSource detail pages. For non-RiverSource annuity and insurance contract information, refer to the issuing company's statement for contract details, or contact the issuing insurance company directly.*

*The MSRB provides disclosure and offering documents from municipal securities issuers online at [www.emma.msrb.org](http://www.emma.msrb.org). You can also find: pricing for municipal trades, interest rates, auction results, daily market statistics and educational material about municipal securities.*

*'M' - indicates securities held in margin account.*

*\*\* Any ratings for municipal bonds are supplied by Standard & Poor's, a division of the McGraw-Hill Companies, Inc, an investment rating provider. Other rating services may rate this security differently. Lack of a rating by Standard & Poor's does not imply the security is not rated as it may be rated by other rating services.*

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*See the Disclosures section of this statement for more information.*

## Additional information about your annuity or insurance contract(s)

ANCHOR NATL LIFE SEASONS SELECT IIA VARIABLE ANNUITY CONTR# A39A8500158 VALUE AS OF 11/29/18

### Living Benefit:

Protected Payment Base Amount

\$100,640.00

### Death Benefit:

Net Death Benefit Amount

\$69,390.49

### Contract allocation:

SA Allocation Moderate Growth

\$66,453.26

Redacted



## Ameriprise Brokerage Account (continued)

Account #: **Redacted**

### Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X	Market price	Ending value this period <sup>7</sup>	Ending value last period	Net change this period	Estimated			Yield
								Cost basis <sup>1</sup>	Unrealized gain/loss	Annual income	
Bonds, CDs and structured products											
OVER 20 YEARS											
NEW JERSEY EDA REV PVT GOETHALS BRDG REPLACENT AGM B/E OID@97.142 AMT CPN 5.125% DUE 07/01/42 DTD 11/08/13 FC 01/02/14 CALL 01/01/24 @ 100.00007/01/2042	6459182F9 Moody's = A2 S&P = AA	20,000.000		\$106.4880	\$21,297.60/ \$427.08	\$21,337.20	-\$39.60	\$20,588.40	\$709.20	\$1,025.00	4.81%
PUERTO RICO PUB BLDGS REV GTD RFDG GOVT FACS U B/E OID @98.145 5.375% CPN 5.250% DUE 07/01/42 DTD 06/21/12 FC 01/01/13 CALL 07/01/22 @ 100.00007/01/2042	745235R37 Moody's = CA S&P = D	20,000.000		\$58.8750	\$11,775.00	\$12,250.00	-\$475.00	\$20,184.33	-\$8,409.33	\$0.00	0.00%
PORT AUTH NY & NJ CONS 177TH REV B/E AMT CPN 4.000% DUE 01/15/43 DTD 01/15/13 FC 07/15/13 CALL 01/15/23 @ 100.00001/15/2043	73358WMT8 M Moody's = AA3 S&P = AA-	25,000.000		\$100.1470	\$25,036.75/ \$377.78	\$24,504.50	\$532.25	\$24,682.50	\$354.25	\$1,000.00	3.99%
PUERTO RICO CMNWHL INFRA FING AUTH SPL TAX REV B/E CPN 5.000% DUE 07/01/46 DTD 09/28/06 FC 01/01/07 CALL 12/31/18 @ 100.00007/01/2046	745220JQ7 M Moody's = C S&P = D	20,000.000		\$17.1250	\$3,425.00	\$3,600.00	-\$175.00	\$19,942.20	-\$16,517.20	\$0.00	0.00%
Total Bonds, CDs and structured products					\$448,477.75	\$448,812.75	-\$335.00	\$557,813.91	-\$109,336.16	\$16,210.00	
Unit investment trusts											
GUGGENHEIM SHORT DUR HI YLD TR 21 MO CA	40167V783	17.000		\$133.06	\$2,262.02	\$2,254.88	\$7.14	\$2,549.34	-\$287.32	\$118.07	5.22%
Description					Ending value this period <sup>7</sup>	Ending value last period	Net change this period				
Insurance and annuities <sup>9</sup>											
ANCHOR NATL LIFE SEASONS SELECT IIA VARIABLE ANNUITY CONTR# A39A8500158 VALUE AS OF 11/29/18					\$66,453.26	\$65,901.02	\$552.24				
If a contract number listed begins with "PEND", this indicates a recent purchase that may not be established yet at the annuity carrier.											
Non-RiverSource annuities only - please refer to your insurance company client statement for annuity contract details											
Total account holdings					\$793,646.77	\$801,683.81	-\$8,037.04				

<sup>1</sup> Cost basis amounts are not a measure of investment return or performance. For investment performance information please contact your financial advisor. These amounts estimate the unrealized gain or loss on the securities you have purchased. Cost basis amounts on your statement are provided for informational purposes only and may be incomplete or unavailable for some of your holdings at this time. For tax preparation, please refer to your year-end tax package and consult your tax advisor.

JOSEPH T LOWERY CLIENT ID: **Redacted**  
GROUP **Redacted**

MARIA ELENA OCAMPO CLIENT ID: **Redacted**  
NOV 01, 2018 TO NOV 30, 2018 | Page 9 of 28



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## Ameriprise Brokerage Account (continued)

Account #: Redacted

## Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X	Market price	Ending value = this period <sup>7</sup>	Ending value last period	Net change this period	Estimated			
								Cost basis <sup>1</sup>	Unrealized gain/loss	Annual income	Yield
Bonds, CDs and structured products											
15-20 YEARS											
PUERTO RICO COMWLTH PUB IMPT SER A B/E CPN 5.250% DUE 07/01/34 DTD 10/04/07 FC 01/01/08 CALL 12/31/18 @ 100.00007/01/2034	74514LMM6 M Moody's = CA S&P = D	5,000.000		\$58.0000	\$2,900.00	\$3,000.00	-\$100.00	\$5,000.00	-\$2,100.00	\$0.00	0.00%
NEW JERSEY ST HIGH ED ASSIST AUTH STDNT LN REV SR SER 1A B/E OID@97.494 CPN 4.125% DUE 12/01/35 DTD 06/20/13 FC 12/01/13 CALL 12/01/22 @ 100.00012/01/2035	646080QA2 Moody's = AAA S&P = AA	15,000.000		\$101.0400	\$15,156.00/ \$309.38	\$15,002.40	\$153.60	\$14,992.97	\$163.03	\$618.75	4.08%
PUERTO RICO PUB BLDGS ATH REV GTD GOVT FACS I RADIAN OID @93.499 5.43 CPN 5.000% DUE 07/01/36 DTD 06/10/04 FC 07/01/04 CALL 12/31/18 @ 100.00007/01/2036	745235XG1 M Moody's = A3 S&P = AA	5,000.000		\$102.4100	\$5,120.50/ \$104.17	\$5,173.00	-\$52.50	\$4,847.20	\$273.30	\$250.00	4.88%
NEW JERSEY ECON DEV AUTH REV ETC SCH FACS CONSTR SER WW B/E OID @98.685 CPN 5.000% DUE 06/15/37 DTD 08/31/15 FC 12/15/15 CALL 06/15/25 @ 100.00006/15/2037	64577BKC7 Moody's = BAA1 S&P = BBB+	10,000.000		\$104.6740	\$10,467.40/ \$230.56	\$10,387.40	\$80.00	\$10,231.14	\$236.26	\$500.00	4.78%
PUERTO RICO CMWLTH A&S AUTH REV SR LIEN SER A B/E OID @96.755 5.36% CPN 5.125% DUE 07/01/37 DTD 02/29/12 FC 07/01/12 CALL 07/01/22 @ 100.00007/01/2037	745160RB9 Moody's = CA S&P = CC	15,000.000		\$92.1250	\$13,818.75/ \$320.31	\$13,875.00	-\$56.25	\$15,218.27	-\$1,399.52	\$768.75	5.56%
PUERTO RICO SALES TAX FING CORP SALES TAX REV FIRST SUB SER A B/E CPN 5.500% DUE 08/01/37 DTD 02/09/10 FC 08/01/10 CALL 02/01/20 @ 100.00008/01/2037	74529JKJ3 M Moody's = CA S&P = D	25,000.000		\$48.0000	\$12,000.00	\$12,218.75	-\$218.75	\$25,313.52	-\$13,313.52	\$0.00	0.00%
NEW JERSEY ST TPK AUTH REV SER A B/E PTC CPN 5.000% DUE 01/01/38 DTD 04/04/13 FC 07/01/13 PRE 07/01/22 @ 100.00001/01/2038	6461393H6 M Moody's = A2 S&P = A+	20,000.000		\$110.1180	\$22,023.60/ \$416.67	\$21,953.00	\$70.60	\$20,378.97	\$1,644.63	\$1,000.00	4.54%

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JOSEPH T LOWERY CLIENT ID: Redacted  
GROUP ID: RedactedMARIA ELENA OCAMPO CLIENT ID: Redacted  
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Account #: Redacted

## Ameriprise Brokerage Account (continued)

## Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X	Market price =	Ending value this period <sup>7</sup>	Ending value last period	Net change this period	Estimated			
								Cost basis <sup>1</sup>	Unrealized gain/loss	Annual income	Yield
Bonds, CDs and structured products											
15-20 YEARS											
NEW JERSEY ST TRANSN TR FD AUTH REV PG SER AA B/E CPN 5.000% DUE 06/15/38 DTD 12/11/12 FC 06/15/13 CALL 06/15/22 @ 100.00006/15/2038	646136J51 Moody's = BAA1 S&P = BBB+	20,000.000		\$103.0560	\$20,611.20/ \$461.11	\$20,490.60	\$120.60	\$21,068.54	-\$457.34	\$1,000.00	4.85%
PUERTO RICO CMWLTH A&S AUTH REV SR LIEN SER A B/E OID 6.1% CPN 6.000% DUE 07/01/38 DTD 03/18/08 FC 07/01/08 CALL 12/30/18 @ 100.00007/01/2038	745160QA2 M Moody's = CA S&P = CC	30,000.000		\$96.0000	\$28,800.00/ \$750.00	\$28,500.00	\$300.00	\$30,000.00	-\$1,200.00	\$1,800.00	6.25%
OVER 20 YEARS											
NEW JERSEY ECON DEV AUTH REV SCH FACS CONSTR SER UU B/E PTC CPN 5.000% DUE 06/15/40 DTD 10/17/14 FC 06/15/15 CALL 06/15/24 @ 100.00006/15/2040	64577BCG7 Moody's = BAA1 S&P = BBB+	115,000.000		\$103.3390	\$118,839.85/ \$2,651.39	\$149,136.85	-\$30,297.00	\$118,899.50	-\$59.65	\$5,750.00	4.84%
NEW JERSEY ECON DEV AUTH REV SCH FACS CONSTR SER UU B/E PTC CPN 5.000% DUE 06/15/40 DTD 10/17/14 FC 06/15/15 CALL 06/15/24 @ 100.00006/15/2040	64577BCG7 M Moody's = BAA1 S&P = BBB+	30,000.000		\$103.3390	\$31,001.70/ \$691.67	\$0.00	\$31,001.70	\$31,101.80	-\$100.10	\$1,500.00	4.84%
PUERTO RICO CMWLTH RFDG PUB IMPT SER A B/E OID @95.272 5.32% CPN 5.000% DUE 07/01/41 DTD 04/03/12 FC 01/01/13 CALL 07/01/22 @ 100.00007/01/2041	74514LB89 Moody's = CA S&P = D	60,000.000		\$58.0000	\$34,800.00	\$35,100.00	-\$300.00	\$59,493.85	-\$24,693.85	\$0.00	0.00%
PUERTO RICO CMNWLH INFRA FING AUTH SPL REV SER B B/E CPN 5.000% DUE 07/01/41 DTD 06/16/05 FC 01/01/06 CALL 12/31/18 @ 100.00007/01/2041	745220EZ2 M Moody's = C S&P = D	30,000.000		\$17.1250	\$5,137.50	\$5,400.00	-\$262.50	\$29,692.80	-\$24,555.30	\$0.00	0.00%
NEW JERSEY ST TRANSN TR FD AUTH SER B REV B/E PTC OID @99.223 5.05 CPN 5.000% DUE 06/15/42 DTD 12/01/11 FC 06/15/12 CALL 06/15/21 @ 100.00006/15/2042	646136E31 Moody's = BAA1 S&P = BBB+	10,000.000		\$103.0800	\$10,308.00/ \$230.56	\$10,273.50	\$34.50	\$10,203.79	\$104.21	\$500.00	4.85%

Redacted

JOSEPH T LOWERY CLIENT ID Redacted  
GROUP ID RedactedMARIA ELENA OCAMPO CLIENT ID Redacted  
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